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I, GAYE TURNER, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. PQ 5851 for a patent by CANON KABUSHIKI KAISHA filed on 25 February 2000.

I further certify that pursuant to the provisions of Section 38(1) of the Patents Act 1990 a complete specification was filed on 21 February 2001 and it is an associated application to Provisional Application No. PQ 5851 and has been allocated No. 23139/01.

WITNESS my hand this
Ninth day of March 2001

A handwritten signature in cursive script, appearing to read 'G. Turner'.

GAYE TURNER
TEAM LEADER EXAMINATION
SUPPORT AND SALES

#4 03C0



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: N.Y.A.
ZHENYA ALEXANDER YOURLO ET AL.)
: Group Art Unit: N.Y.A.
Application No.: 09/788,398)
:
Filed: February 21, 2001)
:
For: CUSTOMISABLE FILTER)
INTERFACE : March 29, 2001

Commissioner for Patents
Washington, D.C. 20231

CLAIM TO PRIORITY

Sir:

Applicants hereby claim priority under the
International Convention and all rights to which they are
entitled under 35 U.S.C. § 119 based upon the following
Australian Priority Application:

PQ 5851, filed February 25, 2000.

A certified copy of the priority document is
enclosed.

Applicants' undersigned attorney may be reached in
our New York office by telephone at (212) 218-2100. All

correspondence should continue to be directed to our address
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Respectfully submitted,

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ORIGINAL

AUSTRALIA

Patents Act 1990

PROVISIONAL SPECIFICATION FOR THE INVENTION ENTITLED:

Customisable Filter Interface

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This invention is best described in the following statement:

CUSTOMISABLE FILTER INTERFACE

Technical Field of the Invention

The present invention relates generally to database searching, and in particular,
5 to user interfaces in relation thereto.

Background Art

Databases typically contain large volumes of data, and while this provides a user with access to significant resources which are searchable on-line, the very volume of the
10 databases can make database searching cumbersome, slow and inconvenient. Software filters are often used in order to reduce a search space, thereby reducing time taken for a search. Traditionally, the user wishing to make use of a customisable filtered information service has required physical access to a personal computer (PC), or alternatively, to a web browser type of interface.

15 Fig. 1 depicts a data search space 100 within which a database search is to be conducted by the user (not shown) using a PC 102. A "brute-force" search of the data search space 100 is depicted by a bilateral arrow 104, this necessitating an exhaustive search of the data search space, typically a slow and inefficient process. This problem can be alleviated to some extent by using a software filter 106, depicted as a dashed box
20 overlaid on a resultant alternative search procedure which is depicted by a bilateral arrow 110. This software filter 106 defines a reduced search space 108 within the original data search space 100, thereby enabling a reduced search 110 to be more rapidly conducted than the alternative 104. The traditional software filter 106 can be customised by the user by means of the PC, however as noted, the user requires physical access to the
25 PC in order to define, and conduct the reduced search space search 110. A further disadvantage of creating software filters directly using PCs is a requirement to provide login and password information when accessing the PC.

As inter-data base connectivity becomes more prevalent, and as the number and variety of access points to databases proliferate, the need for a user to physically access a

particular PC, or alternatively, to program another PC to define a customised software filter, represent impediments to the use of database search engines.

Disclosure of the Invention

5 It is an object of the present invention to substantially overcome, or at least ameliorate, one or more disadvantages of existing arrangements.

According to a first aspect of the invention, there is provided a customisable data filter system adapted to reduce a dimension of a searchable data base and to perform one or more of a database search and a data item selection, in relation to a correspondingly
10 reduced search space, said system comprising:

a Portable Customisable data Filter and Interface (PCFI) comprising a programmable smartcard adapted to store at least a data filter parameter, and further adapted to provide a user interface by means of spatially distributed user selectable icons made visible on a surface of the smartcard;

15 a reader means adapted to interface with said PCFI, and further adapted to discriminate an icon selected by a user; and

database processing means adapted to interface with the reader means, said database processing means being responsive to said data filter parameter and detected icon selection; wherein said correspondingly reduced search space is defined by said filter
20 parameter, and said one or more of the database search and the data item selection is performed using the selectable icons.

According to a second aspect of the invention, there is provided a method of customising a primary Portable Customisable data Filter and Interface (PCFI) comprising steps of:

25 interfacing a customising system to both said primary PCFI and a PCUI; and
programming said primary PCFI by means of user instructions being input by means of the PCUI.

According to a third aspect of the invention, there is provided a method of customising a Portable Customisable data Filter and Interface (PCFI) comprising steps of:

interfacing a customising system to said PCFI; and
programming said PCFI by means of user instructions being input by means of
predetermined control elements on the PCFI.

According to a fourth aspect of the invention, there is provided a Portable
5 Customisable data Filter and Interface (PCFI) adapted to reduce a database search space,
said PCFI comprising:

a programmable smartcard providing a user interface having spatially distributed
user selectable icons made visible on a surface of the smartcard, wherein a selected icon
is capable of discrimination by a smartcard reader to which the PCFI is connectable;

10 first and second data filter parameters; and

a first rule adapted to define a third data filter parameter dependent upon said
first and second data filter parameters.

According to a fifth aspect of the invention, there is provided a method of
reducing a dimension of a searchable data base, and performing one or more of a database
15 search and a data item selection, in relation to a correspondingly reduced search space,
said method comprising steps of:

configuring a Portable Customisable data Filter and Interface (PCFI) comprising
a programmable smartcard adapted to store at least a data filter parameter, and further
adapted to provide a user interface by means of spatially distributed user selectable icons
20 made visible on a surface of the smartcard;

applying the PCFI to a searchable database by connection to said database;

selecting one or more of said user selectable icons;

defining the reduced search space dependent upon said filter parameter; and

performing one or more of a database search and a data item selection, in relation
25 to said reduced search space, dependent upon said selection.

According to a sixth aspect of the invention, there is provided a computer
readable medium for storing a program for apparatus which reduces a dimension of a
searchable data base and performs one or more of a database search and a data item
selection, in relation to a correspondingly reduced search space, said program comprising:

code for a configuring step for configuring a Portable Customisable data Filter and Interface (PCFI) comprising a programmable smartcard adapted to store at least a data filter parameter, and further adapted to provide a user interface by means of spatially distributed user selectable icons made visible on a surface of the smartcard;

5 code for an applying step for applying the PCFI to a searchable database by connection to said database;

 code for a selection step responsive to selection of one or more of said user selectable icons;

 code for a defining step for defining the reduced search space dependent upon
10 said filter parameter; and

 code for a database searching step and code for a data item selection step for performing one or more of a database search and a data item selection, in relation to said reduced search space, dependent upon said selection.

15 **Brief Description of the Drawings**

A number of preferred embodiments of the present invention will now be described with reference to the drawings, in which:

Fig. 1 shows traditional "brute-force" and filtered database searching;

Fig. 2 illustrates a Portable Customisable data Filter and Interface (PCFI)
20 according to a preferred embodiment of the present invention;

Fig. 3 depicts customisation of a PCFI using a programming device having an integral user interface;

Fig. 4 depicts customisation of a primary PCFI using a PCUI as a customer interface in conjunction with a programming device;

25 Fig. 5 shows a smartcard and a corresponding smartcard reader in accordance with the preferred embodiment;

Fig. 6 depicts customisation of a PCFI using customisation interface features on the CFI itself in conjunction with a programming device;

Fig. 7 shows an orchestral selection PCFI being created on a PC;

Fig. 8 shows a PCUI usable to program a desired PCFI;

Fig. 9 shows a PCFI used for selection and purchase of show tickets;

Fig. 10 shows a PCFI directed to music selection, using more complex filter customisation controls;

5 Fig. 11 depicts a PCFI providing a restaurant guide function;

Fig. 12 depicts the PCFI of the type shown in Fig. 7 being constructed using a PC;

Fig. 13 shows a PCFI directed to TV channel selection and programming; and

10 Fig. 14 is a schematic block diagram of a general purpose computer upon which the preferred embodiment of the present invention can be practiced.

Detailed Description including Best Mode

Where reference is made in any one or more of the accompanying drawings to steps and/or features, which have the same reference numerals, those steps and/or features
15 have, for the purposes of this description, the same function(s) or operation(s), unless the contrary intention appears.

In the context of this specification, the word “comprising” means “including principally but not necessarily solely” or “having” or “including” and not “consisting only of”. Variations of the word comprising, such as “comprise” and “comprises” have
20 corresponding meanings.

Fig. 2 shows a portable Customisable data Filter and Interface (PCFI) system in accordance with a preferred embodiment of the present invention. As was described with reference to Fig. 1, the overall data search space 100 can be searched in a traditional manner using the PC 102, the associated brute-force search being depicted by the bilateral
25 arrow 104. Alternatively, the traditional software filter 106 can be programmed using an application in the PC 102, thereby facilitating the reduced search 110 which is conducted across the reduced search space 108. This description relates to the traditional arrangement.

In the preferred embodiment however, a smartcard programmer/reader 200 is connected to the PC 102 by a data connection 202, and a portable, customisable, data filter and interface (a PCFI) 204 can be produced, as depicted by an arrow 206. The PCFI 204 embodies customisation similar to that of the software filter 106, however in physical portable form, exemplified by a smartcard (for more detail see the description provided with respect to Fig. 5). The PCFI 204 can be inserted, as depicted by an arrow 208, into a smartcard reader 210 which is connected by a data connection 212 to a remote processor 214. The remote processor 214 can, in accord with PCFI attributes, conduct a reduced search as depicted by a bilateral arrow 216 in regard to the reduced search space 108.

10 The PCFI is programmable, portable, and in addition, provides a convenient user interface. It can be customised with one of a multitude of desired software filter functions, and used on any remote device having a suitable PCFI interface (eg. 210). Accordingly, the database searcher is no longer tied to a particular physical machine (eg. the PC 102) and furthermore, or alternately, has no need to perform cumbersome and irritating programming and customisation at a remote processor (eg. 214) when wishing to conduct a filtered database search from the corresponding location. The PCFI can provide a software filter function in a number of ways. For example, a desired software filter function can be incorporated directly onto the PCFI itself. Alternatively, the PCFI can be provided with one or more references, these references "pointing" to software filter functions accessible elsewhere in the network (not shown). Implicit in the depiction of Fig. 2, is a network infrastructure by means of which database searching takes place. Accordingly, software filters can reside on a remote "filter server", to which a reference on the PCFI can point. This link between the PCFI filter reference and the remotely located software filter is advantageous, since it enables the remote filter to be updated from time to time, the PCFI having access to the updated filter when the PCFI is next activated.

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The ability to customise the PCFI is advantageous when compared to the prior art, since the PCFI can be carried with the user, and made use of at any location in which an appropriate PCFI receptacle and display are present. Furthermore, each PCFI

specification is represented by a physical token (ie. the specific PCFI in question) which can conveniently be visually tagged for recognition. This physical aspect of the PCFI provides users with a straightforward visual method for selecting, in a rapid and convenient manner, the particular PCFI being sought.

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Programming Interface Options

A PCFI can be customised using different user interfaces, including (i) an external device and interface equipped with PCFI generation capabilities, (ii) a Portable Customisable User Interface (PCUI) in conjunction with an external device equipped with
10 PCFI generation capabilities, and (iii) a subset of features on the PCFI itself. PCFIs are a sub-set of PCUIs, since the latter, while portable, able to be customised, and providing a user interface, do not necessarily provide data filter functionality. PCUIs are typically embodied in a form of a programmable smartcard as described in relation to Fig. 5. A PCFI can, in some cases, be used as a PCUI, provided that it supports necessary user
15 interface functionality.

Fig. 3 illustrates the first method for PCFI customisation, showing a “blank” PCFI 1104 being inserted as depicted by an arrow 1106 into a smartcard programmer/reader 1102, which is connected to a PC 1100. A user provides customisation information as depicted by an arrow 1112, and consequently a programmed
20 ie. customised PCFI 1110 is produced by the smartcard programmer/reader 1102 as depicted by an arrow 1108. The PC provides both display and user interface capabilities, and supports an application which presents a programmable PCFI interface on the display of the PC 1100. The user enters a number of preference specifications as depicted by the arrow 1112, the specifications corresponding to parameters from which a desired software
25 filter is to be constructed. Once the user is satisfied with the selections which have been made, the set of parameters which has been selected is used to generate a filter specific to the desired use, and to generate the customised PCFI 1110 for that use. The PCFI can be usually marked in some visually distinctive fashion, indicating the particular customisation which has been affected.

Fig. 4 depicts the second technique for PCFI customisation, whereby a PCUI 1200 provides a user interface by which a user can, as depicted by an arrow 1204, provide suitable customisation commands in order to produce the primary customised PCFI 1110. The PCUI 1200 is inserted as depicted by an arrow 1202 into the smartcard programmer/reader 1102, and performs as a user interface by which the user can provide customisation commands as depicted by the arrow 1204. In this instance, the PCUI 1200 provides equivalent interface functionality to that provided by the PC 1100 (see Fig. 3).

Fig. 5 shows a representative smartcard 1400 which has visible icons eg. 1402 disposed on an upper surface of the smartcard 1400. The smartcard 1400 also has a processor 1404 disposed on an underneath surface of the card 1400. The processor 1404 is adapted to electrically interconnect with a smartcard reader 1410, after the smartcard 1400 is inserted as depicted by an arrow 1406 into an entry aperture 1408. The smartcard reader 1410 is provided with an aperture 1412 through which the upper surface of the smartcard 1400 is made visible. The icons 1402 are visible and accessible through the aperture 1412. The spatial location of each icon eg. 1402 is programmed into the smartcard processor 1404, and detected by a touch-screen mechanism disposed in the aperture 1412. In this manner, a user can select an icon e.g 1402, this being detected and discriminated by the smartcard reader 1410, which is able to determine which icon has been pressed, and accordingly, which function is being specified.

In the context of Fig. 4, the smartcard programmer/reader 1102 is appropriately provided with the user interface aperture 1412, thereby allowing the PCUI 1200 to be used as the customer interface for programming as depicted by the arrow 1204. The other aspects of producing the primary PCFI 1110 are the same as those described in relation to Fig. 3. When the user wishes to create the primary PCFI 1110, the user first inserts the PCUI into the smartcard programmer/reader 1102, thereby causing a corresponding customisation application to be initiated in the PC 1100. The customisation application presents the user with a number of preference specifications which may be modified to the user's satisfaction, using the PCUI 1200. Once the user is satisfied with the preferences selected, the user indicates this to the application, the application then

proceeding to produce the primary customised PCFI 1110 which incorporates the specified filter parameters, and corresponds to the desired use. As described in relation to Fig. 3, the customised primary PCFI 1110 can be marked in a suitable visual fashion, in order to indicate the particular customisation which has been effected.

5 Fig. 6 illustrates the third technique for PCFI customization, where the PCFI to be programmed 1104 incorporates interface features which can be used to produce the customised PCFI 1110. The user inserts the "blank" PCFI 1104 into the smartcard programmer/reader 1102 (see Fig. 5 for details), this causing the PCFI application running on the PC 1100 to be activated. Although the unprogrammed PCFI 1104 is
10 referred to as being "blank", in this instance, it contains a minimum set of customisation controls, which the user can use in order to exercise control functions existing on the unprogrammed PCFI 1104. This user interaction is depicted by an arrow 1300. The operation of the user on said control functions as depicted by the arrow 1300 has the effect of customising the behaviour of the application running on the PC 1100, by
15 dynamically altering the parameters corresponding to the filter to be produced on the customised PCFI 1110.

Customization Process Options

Customisation of a PCFI can be achieved in a number of ways. In a first
20 example, a user is presented with a number of filtering options. One option can be a hierarchical schema with certain options containing sub-options. Alternatively, a flat option format can be provided, in which each option is distinct from other options. Yet further, a format can be presented in which certain options are linked in some way either to each other, or to a combination of set presentations. An example of such a presentation
25 can be considered in an application for purchasing show tickets, where a selection can exist which represents, for example, "City Nightlife". This "City Nightlife" option can be linked to settings for "venue", and in particular venue settings relating to city locations and times. The effect of this linkage is similar to that of a filter template, this template being capable of being updated externally when, for example, a new venue is opened. In

this instance, a PCFI based on “City Nightlife” would be updated to include the new venue. Each of the aforementioned filtering options can be combined in an application-specific fashion, such as a Boolean expression, to form a filter defining boundaries of the reduced search-space in question.

5 A second process by which a PCFI can be defined is by adaptation to user preferences. In this approach, a user is presented with a succession of suggestions by a training application, and the user’s responses are recorded. A learning function is then applied to these responses, extracting a set of parameters which correspond to the user preferences. These parameters are then used to form a filter defining boundaries of the
10 information-space in question.

It is possible to encode a number of different filter parameter sets onto a PCFI, in such a manner that the different sets can be selected according to the needs of the user in an on-demand manner. This provides the user with increased control, at the time of the filtering transaction, over the type of filter being selected, and also over the manner in
15 which the filter is applied to the data set. The PCFI is accordingly customisable, not only when initially creating the PCFI itself, but each time the PCFI is used.

When initially creating a PCFI of this type, a number of further steps must be added to each of the three PCFI creation techniques described in relation to Figs. 11 to 14. These additional steps include specifying filters associated with control areas of the PCFI,
20 and specifying the manner in which these filters can be combined to form the complete filtering function to be applied to the data set. This is described in more detail with reference to Figs. 11 and 12.

A number of PCFI examples are now described, in order to further clarify the features of the various embodiments.

25 Fig. 7 employs the customisation technique described in relation to Fig. 3. In this example, the desired PCFI is to be used in a ticket-ordering system for current events. The term “system” in this context refers, for example, to the system depicted in Fig. 2, as applied to the ticket-ordering example. With reference to an on-screen map 302, a user selects a set of event venues which are of interest. This restricts the data search space to

those events which occur at only those specified venues. A time specification facility 312, 314 allows the user to specify the time period during which attendance of events is sought. This reduces the search space along a time dimension, in addition to the previous narrowing of the search space to a subset of available venues. Another parameter which the user can specify is the type of venue, as indicated by the textbox 308. Choice of the type of venue is provided by a drop-down menu selector 310. The type of venue can be either indoor or outdoor, a selection causing the search space to be further reduced by venue type. Yet another parameter which can be specified by the user is the type of event of interest, as depicted by a text box 306 and a drop-down menu tool 304. Types of event can include musicals, operas, orchestrals and so on. Selection of one event type causes the search space to be further reduced, allowing only events restricted to the particular type of event selected.

The combination of these user-specified parameters is used to construct a filter for the database of current events available to the ticket-ordering system. The PCFI produced by the aforementioned process can be inserted into a smartcard reader 200 attached to the user's own PC 102 (see Fig. 2), or into another appropriately programmed remote device, for example in the city entertainment area (eg. smartcard reader 210 and remote processor 214 in Fig. 2).

Fig. 8 depicts a PCUI 400 interface by which a user can customise a primary PCFI (not shown), in accordance with the customisation technique described in relation to Fig. 4. The PCUI 400 provides similar functionality to the PC based functionality described in relation to Fig. 7. Accordingly, "start time" can be selected by icons 404 and 402, and similarly "stop time" can be controlled by icons 406 and 408. Venue selection can be assessed and navigated using navigation tools 412 and 414, and then selected using a selection icon 410. Indoor and outdoor preferences can similarly be selected using selection icons 416 and 418 respectively. Finally, once all the aforementioned parameters have been reviewed and appropriately selected, a card creation icon 420 can be selected in order to actually produce the desired PCFI.

Fig. 9 shows an exemplary PCFI serving a “show-guide” filter and interface application, which has been produced by any one of the aforementioned PCFI production techniques. The PCFI 500 has a bold PCFI label 502. Further PCFI details are provided by the printed text 504 showing that the show-guide relates to city venues for events
5 between the designated hours 8:00pm and 12:00am. The user can insert the PCFI 500 either into an appropriate smartcard reader 200 at his home PC 102 (see Fig. 2), or alternatively, the PCFI 500 can be portably carried on the users person, being inserted it into an appropriate smartcard reader 210 for processing by a suitable remote processor 214 at a central location in the city entertainment area (see Fig. 2). Upon inserting the
10 PCFI into the appropriate reader, navigation controls 508, 510 can be used to navigate through a list of filter-matched events which are presented to the user on an appropriate display (eg. on the user’s home PC 102, or a remote processor 214). Further information about a particular event can be obtained by the use of a control 506. Purchasing of tickets allowing entry to a selected event is accomplished by actuating the “buy ticket” control
15 icon 512. It is apparent that the PCFI can be conveniently carried by the user, and used at a location and time of the user’s choosing, to both check current events for which tickets can be purchased, and to purchase such tickets as desired.

Fig. 10 provides a further example of a PCFI, this being intended for use in an on-line music delivery application. The on-line music delivery system provides
20 streaming of music to the user’s location, while providing CD-player style controls by means of icons 608 to 614. The music delivered to the user is consistent with a filter that has been either previously defined, or is dynamically customised by the user using control icons 606 and 604. In the present example, filter parameters used by the PCFI are adaptable to user preferences through actuation of control icons 606 and 604. Control
25 606 customises the filter by causing music generally less similar to the currently playing music to be selected, while control 604 customises the filter by causing music which is generally more similar to the currently playing music to be selected. Once the user has indicated a preference for a number of pieces of music, thereby establishing a baseline for

preferred music type, then the music delivery system adapts subsequent selections of music to be consistent with the user's current musical preferences.

Fig. 11 provides an example of a more complex PCFI, this being directed to an on-line restaurant guide application. The on-line restaurant guide has access to details about a very large number of restaurants, and can be used to provide recommendations comprising a limited set of restaurants which may be of interest to the user, based on parameters chosen by the user. A filter stored on the PCFI is divided into a number of sub-filters, these being selectable and combinable to create a more complex filter reflecting the preferences of the user at a given time. An iconic representation of each filter is displayed on the face of the card, each icon corresponding to a control region as previously described in relation to Fig. 5. A geographic area is divided into a number of regions, eg. 702, each region icon being a PCFI control associated with a location filter for that region. Pressing on one of these region icons, eg. 702 causes the associated location filter to be combined with any other filter specified for this application, and consequently a filtering process is carried out with respect to a global data set associated with the restaurant guide application. It is noted that a PCFI has filter characteristics which are created both at the time of creating the PCFI in the first instance, and in the present example, further filter attributes which are adjustable at "run-time", ie. when the user selects the various control icons on the card. Accordingly, the aforementioned global data set undergoes a preliminary filtering when the PCFI is inserted into an appropriate receptacle (eg. smartcard reader 210 in Fig. 2). Thereafter, further filtering takes place when the user selects the appropriate control icons. Continuing with the run-time controls, a number of other control icons 714 to 716 provide selection capability in regard to a style of restaurant. This can vary from a family style restaurant icon 714 to a fast food style restaurant icon 716. On selecting the family restaurant control icon 714, for example, a "family restaurant style" filter is combined with previously specified filters to provide further filtering of the data set. Another group of control icons 710 to 712 provide control capability in regard to preferred price ranges for the restaurants. Finally, navigation controls 704 and 706 are provided for navigating through restaurants retrieved

by the restaurant guide PCFI, which operates in accordance with filters as described previously which have been specified by the user. A control icon 708 allows the user to view more detailed information about a currently selected restaurant on the list.

The restaurant guide PCFI 700 can make use of sub-filters which are mutually
5 exclusive, and alternatively, filters which can be combined in an inclusive manner. For example, filters relating to geographic regions are typically combined in an inclusive manner (ie. a Boolean "OR"), such that the restaurants displayed are located in one of the specified regions. In contrast, it would be appropriate to combine the style and price filters in a more restrictive manner (ie. a Boolean "AND"), such that a restaurant will be
10 filtered out unless it satisfied both the style filter, and the price filter. Accordingly, if the "family" style is selected, and the "\$\$\$" category is also selected, then only expensive family style restaurants are shown, and family restaurants which are inexpensive are not shown. Similarly, expensive restaurants that are not family style restaurants are also not shown.

15 Fig. 12 depicts construction of the PCFI of Fig. 7, using the PCFI customisation technique described in relation to Fig. 3. A PC with a web style interface is used, with user interaction being driven primarily by mouse clicks. A PCFI construction application running on the PC provides a preview of the PCFI 818, and displays controls for selecting PCFI attributes on the right hand side of the PC display 800. The first control 802 allows
20 the user to specify a geographic location control icon, a drop-down menu control for locations being activated by a menu tool 804. The next three control icons 806, 810 and 814 are defined to provide additional attributes which can be selected by using drop-down menu tools 808, 812 and 816 respectively to expose options in each category. The user can add a geographic region filter attribute to the card by clicking on a selected
25 geographic region 822, which corresponds to the upper right corner of the displayed map 820 as depicted by a dashed arrow 826. The PCFI user later selects desired filter attributes using the defined control icons 806, 810 and 814. When the user is satisfied with the filter attributes defined for the PCFI, the user can press the "create card" control

icon 824, which causes a customised PCFI to be created, being produced as described in relation to PCFI 1110 in Fig. 3.

Fig. 13 shows another example of a PCFI directed towards on-line electronic program guide applications, such as those used in conjunction with TV program selection.

5 This PCFI is usable in the system described in relation to Fig. 2, in which a TV and VCR (not shown) are connected to, and controlled by, the PC 102. The PCFI 900 is used to display a filtered data space derived from an on-line electronic program guide database, and allows a user to navigate through the filtered program listings and perform control operations, such as selecting programs to be viewed or recorded. These control

10 operations are performed using control function icons presented on the surface of the PCFI 900. Accordingly, channel navigation controls 902 and 904 allow channel selection, while time controls 906 and 908 allow selection of the desired time intervals. Programming controls 910 and 912 can be used to respectively add or subtract, programs to a VCR control list. Programs can be tagged for either watching (using an icon 914) or

15 recording (using an icon 916) respectively. The programs listed in the filtered electronic program guide are restricted to those which match parameters encoded on the PCFI 900. This particular application of a PCFI is advantageous in modern TV environments, since these environments offer a very large number of channels, not all of which are to the taste of the viewer. The PCFI 900 can be customised to restrict the types of programs or

20 channels which are listed in the electronic program guide, and thereby remove unwanted programs from the guide listing, substantially enhancing the utility of the program guide application from the user's point of view.

The PCFI used to access the electronic program guide acts as a filter which selects entries from a general program guide database. The nature of this filtering can be

25 controlled by a number of parameters which relate to aspects of the program listing, such as the program's broadcast time, its rating, subject matter or category, the names of cast members or any other information which may be stored in an electronic program guide database. Creating the PCFI 900 can be effected, for example, using a PCUI 1200 as a user interface, as described in relation to Fig. 12. One embodiment of the PCUI 1200 can

allow a user to construct the primary PCFI 900 by selecting Boolean expressions, or tests, which are applied in turn to selected entries in the program database. Such a filter allows the user to restrict program guide listings to those entries which match all of the Boolean expressions so specified. Representations of the desired filter expressions are encoded on
5 the primary PCFI, and are applied when the primary PCFI is used to access the electronic program guide.

Other filtering approaches can be used to construct PCFIs for accessing the electronic program guide. Accordingly, PCFIs which encode pre-defined filtering expressions which select particular programs from the electronic program guide database
10 can be directed for promotional purposes. In this embodiment, it is likely that the PCFI will be physically embossed with some form of advertisement or related material, which corresponds to the filter encoded on the PCFI. This visual representation combines the PCFI operational functions with the advertising material, in order to further enhance promotional aspects of the PCFI.

15 It is possible for PCFIs to have login and password information incorporated therein, thereby allowing faster and more convenient access to a PC than is normally the case when a user wishes to access a network. Furthermore, PCFIs can incorporate additional features, such as prepaid cash capabilities. These could, for example, be combined with the ticket-purchasing application, thereby providing further convenience.

20 The method of reducing a dimension of a database using a PCFI is preferably practiced using a conventional general-purpose computer system 1000, such as that shown in Fig. 14 wherein the processes described in relation to Figs. 2, 3, 4 and 6 can be implemented as software, such as an application program executing within the computer system 1000. In particular, the steps of method of reducing a dimension of a database
25 using a PCFI are effected by instructions in the software that are carried out by the computer. The software can be divided into two separate parts; one part for carrying out the reducing a dimension of a database using a PCFI, and another part to manage the user interface between the latter and the user. The software can be stored in a computer readable medium, including the storage devices described below, for example. The

software is loaded into the computer from the computer readable medium, and then executed by the computer. A computer readable medium having such software or computer program recorded on it is a computer program product. The use of the computer program product in the computer preferably effects an advantageous apparatus
5 for a further disadvantage of creating software filters directly using PCs is a requirement to provide login and password information when accessing the PC in accordance with the embodiments of the invention.

The computer system 1000 comprises a computer module 1001, input devices such as a keyboard 1002 smartcard reader 1022, and mouse 1003, output devices
10 including a printer 1015, smartcard programmer 1022 and a display device 1014. A Modulator-Demodulator (Modem) transceiver device 1016 is used by the computer module 1001 for communicating to and from a communications network 1020, for example connectable via a telephone line 1021 or other functional medium. The modem 1016 can be used to obtain access to the Internet, and other network systems, such
15 as a Local Area Network (LAN) or a Wide Area Network (WAN).

The computer module 1001 typically includes at least one processor unit 1005, a memory unit 1006, for example formed from semiconductor random access memory (RAM) and read only memory (ROM), input/output (I/O) interfaces including a video interface 1007, and an I/O interface 1013 for the keyboard 1002, and mouse 1003 and
20 optionally a joystick (not illustrated), and an interface 1008 for the modem 1016. A storage device 1009 is provided and typically includes a hard disk drive 1010 and a floppy disk drive 1011. A magnetic tape drive (not illustrated) can also be used. A CD-ROM drive 1012 is typically provided as a non-volatile source of data. The components 1005 to 1013 of the computer module 1001, typically communicate via an
25 interconnected bus 1004 and in a manner which results in a conventional mode of operation of the computer system 1000 known to those in the relevant art. Examples of computers on which the embodiments can be practised include IBM-PC's and compatibles, Sun Sparcstations or alike computer systems evolved therefrom.

Typically, the application program of the preferred embodiment is resident on the hard disk drive 1010 and read and controlled in its execution by the processor 1005. Intermediate storage of the program and any data fetched from the network 1020 can be accomplished using the semiconductor memory 1006, possibly in concert with the hard disk drive 1010. In some instances, the application program can be supplied to the user encoded on a CD-ROM or floppy disk and read via the corresponding drive 1012 or 1011, or alternatively can be read by the user from the network 1020 via the modem device 1016. Still further, the software can also be loaded into the computer system 1000 from other computer readable medium including magnetic tape, a ROM or integrated circuit, a magneto-optical disk, a radio or infra-red transmission channel between the computer module 1001 and another device, a computer readable card such as a PCMCIA card, and the Internet and Intranets including email transmissions and information recorded on websites and the like. The foregoing is merely exemplary of relevant computer readable mediums. Other computer readable mediums may be practiced without departing from the scope and spirit of the invention.

The method of reducing a dimension of a database using a PCFI can alternatively be implemented in dedicated hardware such as one or more integrated circuits performing the functions or sub functions of reducing a dimension of a database using a PCFI. Such dedicated hardware may include graphic processors, digital signal processors, or one or more microprocessors and associated memories.

Industrial Applicability

It is apparent from the above that the embodiment(s) of the invention are applicable to the field of automatic transactions in general, and electronic commerce in particular.

The foregoing describes only some embodiments of the present invention, and modifications and/or changes can be made thereto without departing from the scope and spirit of the invention, the embodiments being illustrative and not restrictive.

Claims:

1. A customisable data filter system adapted to reduce a dimension of a searchable data base and to perform one or more of a database search and a data item
5 selection, in relation to a correspondingly reduced search space, said system comprising:

a Portable Customisable data Filter and Interface (PCFI) comprising a programmable smartcard adapted to store at least a data filter parameter, and further adapted to provide a user interface by means of spatially distributed user selectable icons made visible on a surface of the smartcard;

10 a reader means adapted to interface with said PCFI, and further adapted to discriminate an icon selected by a user; and

database processing means adapted to interface with the reader means, said database processing means being responsive to said data filter parameter and detected icon selection; wherein said correspondingly reduced search space is defined by said filter
15 parameter, and said one or more of the database search and the data item selection is performed using the selectable icons.

2. A customisable data filter system according to claim 1, wherein said data filter parameter is a reference to said data filter parameter.

20

3. A method of customising a primary Portable Customisable data Filter and Interface (PCFI) comprising steps of:

interfacing a customising system to both said primary PCFI and a PCUI; and

programming said primary PCFI by means of user instructions being input by
25 means of the PCUI.

4. A method of customising a Portable Customisable data Filter and Interface (PCFI) comprising steps of:

interfacing a customising system to said PCFI; and

programming said PCFI by means of user instructions being input by means of predetermined control elements on the PCFI.

5. A Portable Customisable data Filter and Interface (PCFI) adapted to
5 reduce a database search space, said PCFI comprising:

a programmable smartcard providing a user interface having spatially distributed user selectable icons made visible on a surface of the smartcard, wherein a selected icon is capable of discrimination by a smartcard reader to which the PCFI is connectable;

first and second data filter parameters; and

10 a first rule adapted to define a third data filter parameter dependent upon said first and second data filter parameters.

6. A PCFI according to claim 5, wherein said first and second data filter parameters are first and second references to said first and second data filter parameters,
15 and further wherein said third data filter parameter is a reference to said third data filter parameter.

7. A PCFI according to claim 5, wherein said first rule comprises one or more of:

20 a boolean relationship applicable to said first and second data filter parameters; and

a learning function operable upon one or more of said first and second data filter parameters in conjunction with a baseline parameter.

25 8. A PCFI according to claim 7, wherein said first and second data filter parameters are first and second references to said first and second data filter parameters.

9. A method of reducing a dimension of a searchable data base, and performing one or more of a database search and a data item selection, in relation to a
30 correspondingly reduced search space, said method comprising steps of:

configuring a Portable Customisable data Filter and Interface (PCFI) comprising a programmable smartcard adapted to store at least a data filter parameter, and further adapted to provide a user interface by means of spatially distributed user selectable icons made visible on a surface of the smartcard;

- 5 applying the PCFI to a searchable database by connection to said database;
 selecting one or more of said user selectable icons;
 defining the reduced search space dependent upon said filter parameter; and
 performing one or more of a database search and a data item selection, in relation to said reduced search space, dependent upon said selection.

10

10. A method of reducing a dimension of a searchable database according to claim 9, whereby said data filter parameter is a reference to said data filter parameter.

11. A computer readable medium for storing a program for apparatus which
15 reduces a dimension of a searchable data base and performs one or more of a database search and a data item selection, in relation to a correspondingly reduced search space, said program comprising:

 code for a configuring step for configuring a Portable Customisable data Filter and Interface (PCFI) comprising a programmable smartcard adapted to store at least a
20 data filter parameter, and further adapted to provide a user interface by means of spatially distributed user selectable icons made visible on a surface of the smartcard;

 code for an applying step for applying the PCFI to a searchable database by connection to said database;

 code for a selection step responsive to selection of one or more of said user
25 selectable icons;

 code for a defining step for defining the reduced search space dependent upon said filter parameter; and

 code for a database searching step and code for a data item selection step for performing one or more of a database search and a data item selection, in relation to said
30 reduced search space, dependent upon said selection.

12. A computer readable medium according to claim 11, wherein said data filter parameter is a reference to said data filter parameter.

5 13. A customisable data filter system substantially as described herein with reference to any one of the embodiments, as that embodiment is shown in the accompanying drawings.

10 14. A method of customising a primary Portable Customisable data Filter and Interface (PCFI) substantially as described herein with reference to any one of the embodiments, as that embodiment is shown in the accompanying drawings.

15 15. A Portable Customisable data Filter and Interface (PCFI) substantially as described herein with reference to any one of the embodiments, as that embodiment is shown in the accompanying drawings.

20 16. A method of reducing a dimension of a searchable data base substantially as described herein with reference to any one of the embodiments, as that embodiment is shown in the accompanying drawings.

25 17. A computer readable medium for storing a program for apparatus which reduces a dimension of a searchable data base substantially as described herein with reference to any one of the embodiments, as that embodiment is shown in the accompanying drawings.

DATED this Twenty fifth Day of February 2000

Canon Kabushiki Kaisha

Patent Attorneys for the Applicant

30 **SPRUSON & FERGUSON**

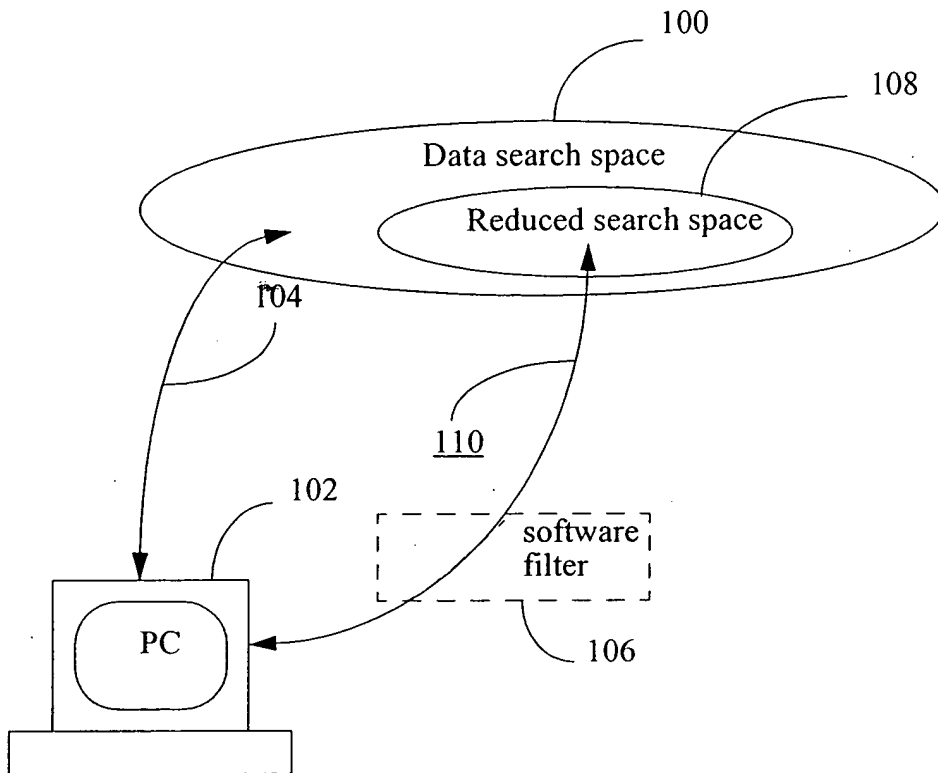


Fig. 1
(Prior Art)

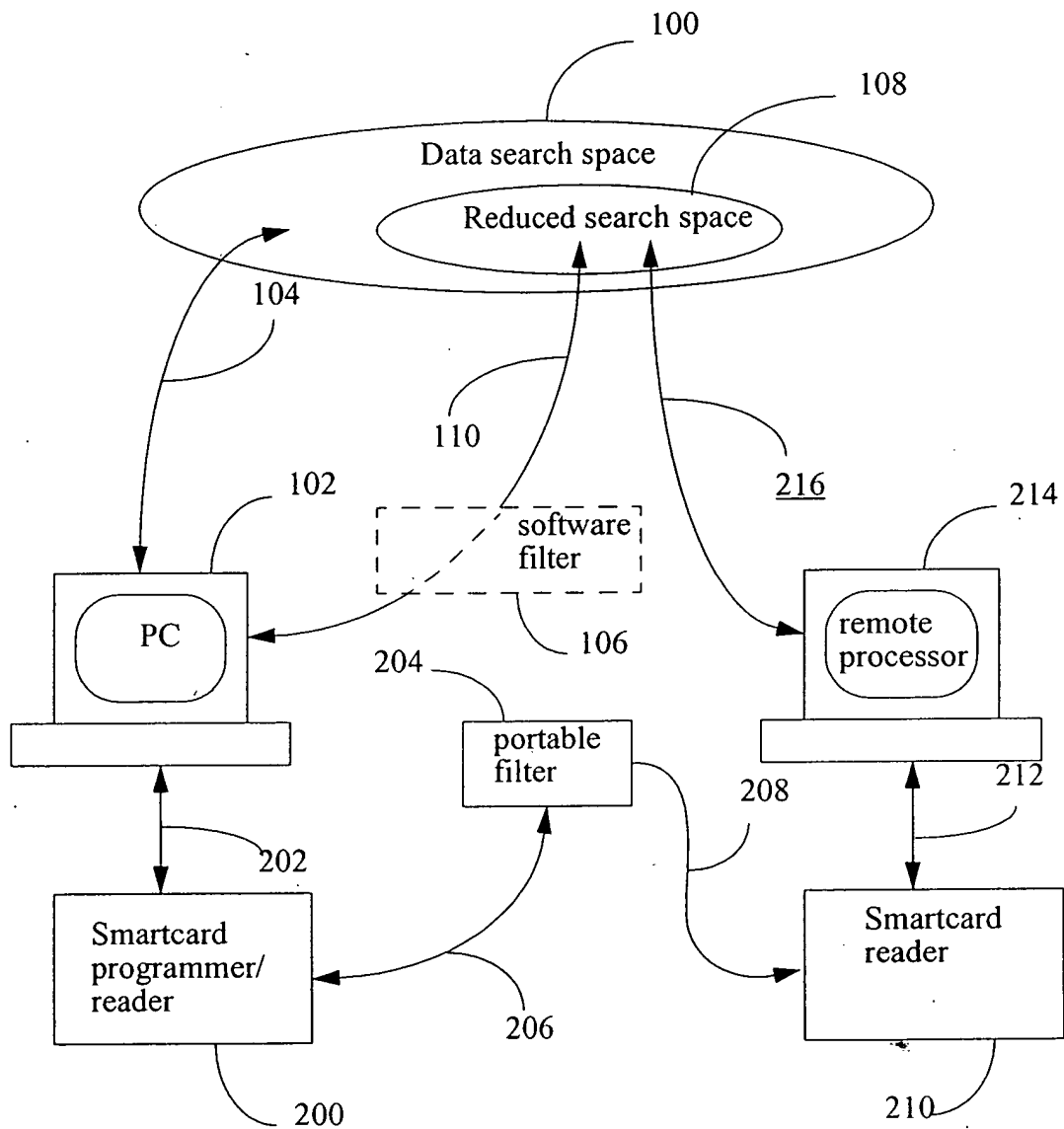


Fig. 2

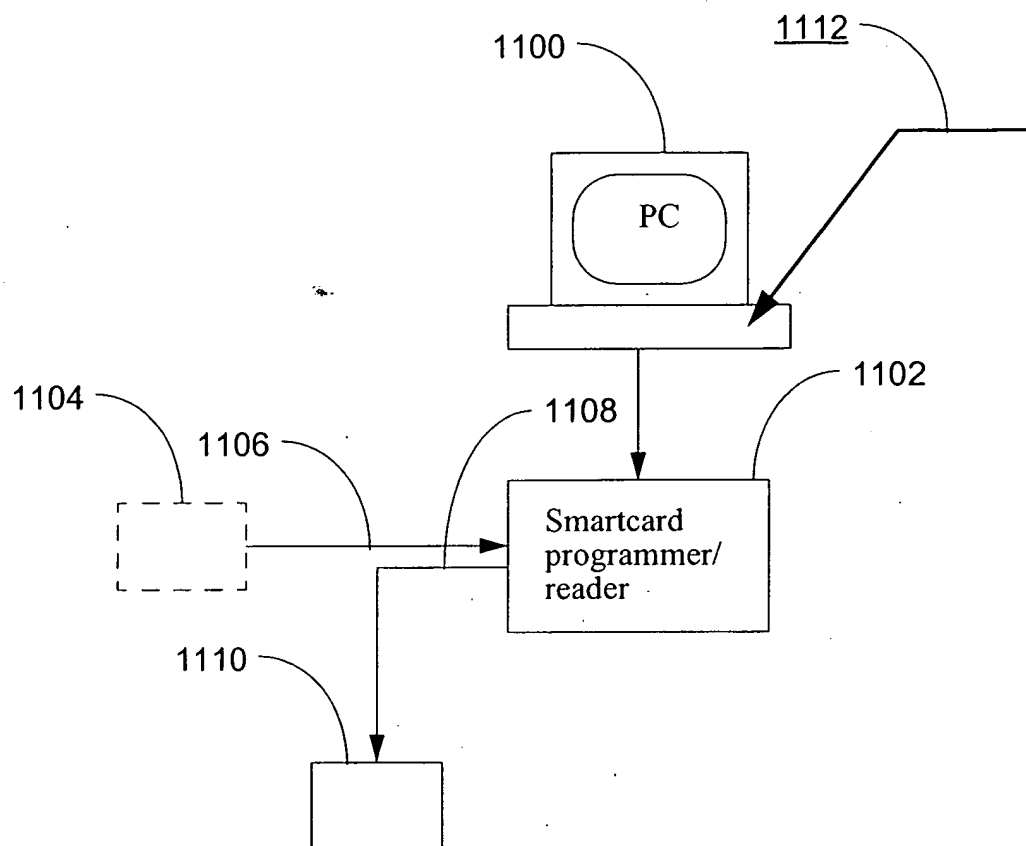


Fig. 3

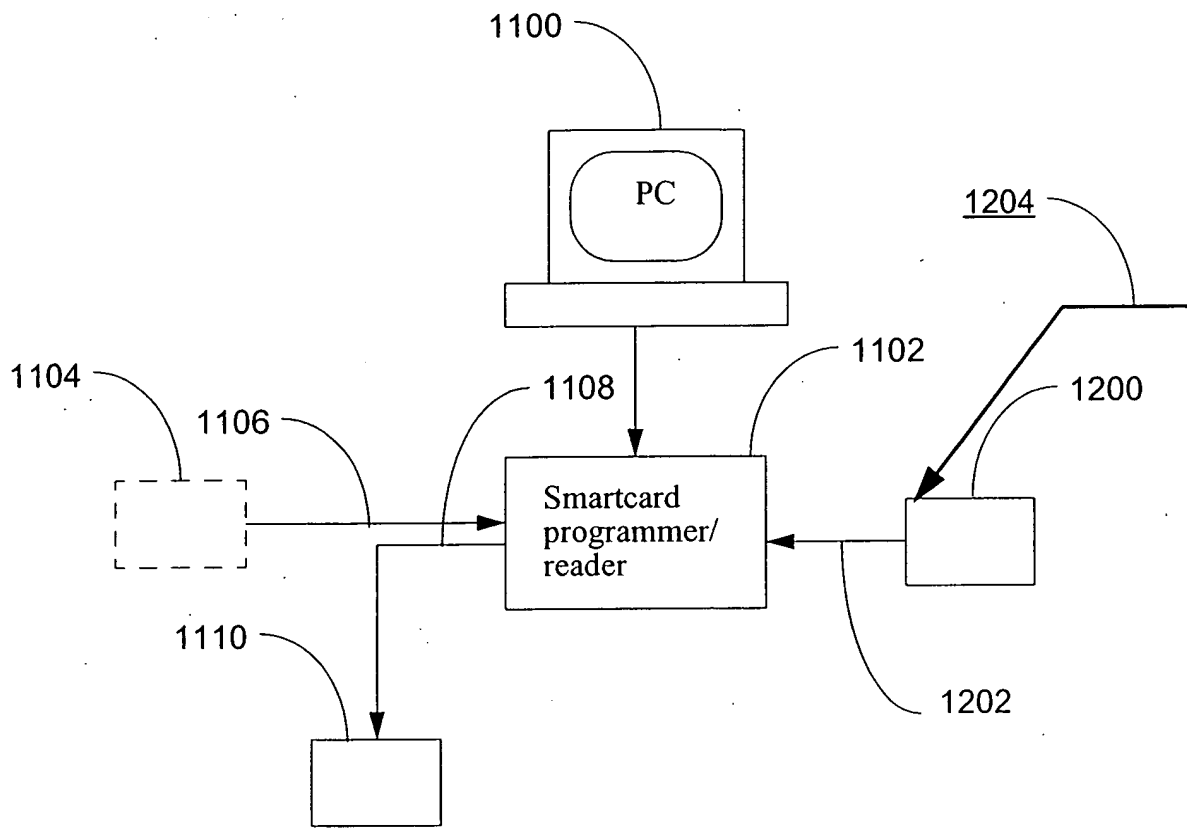


Fig. 4

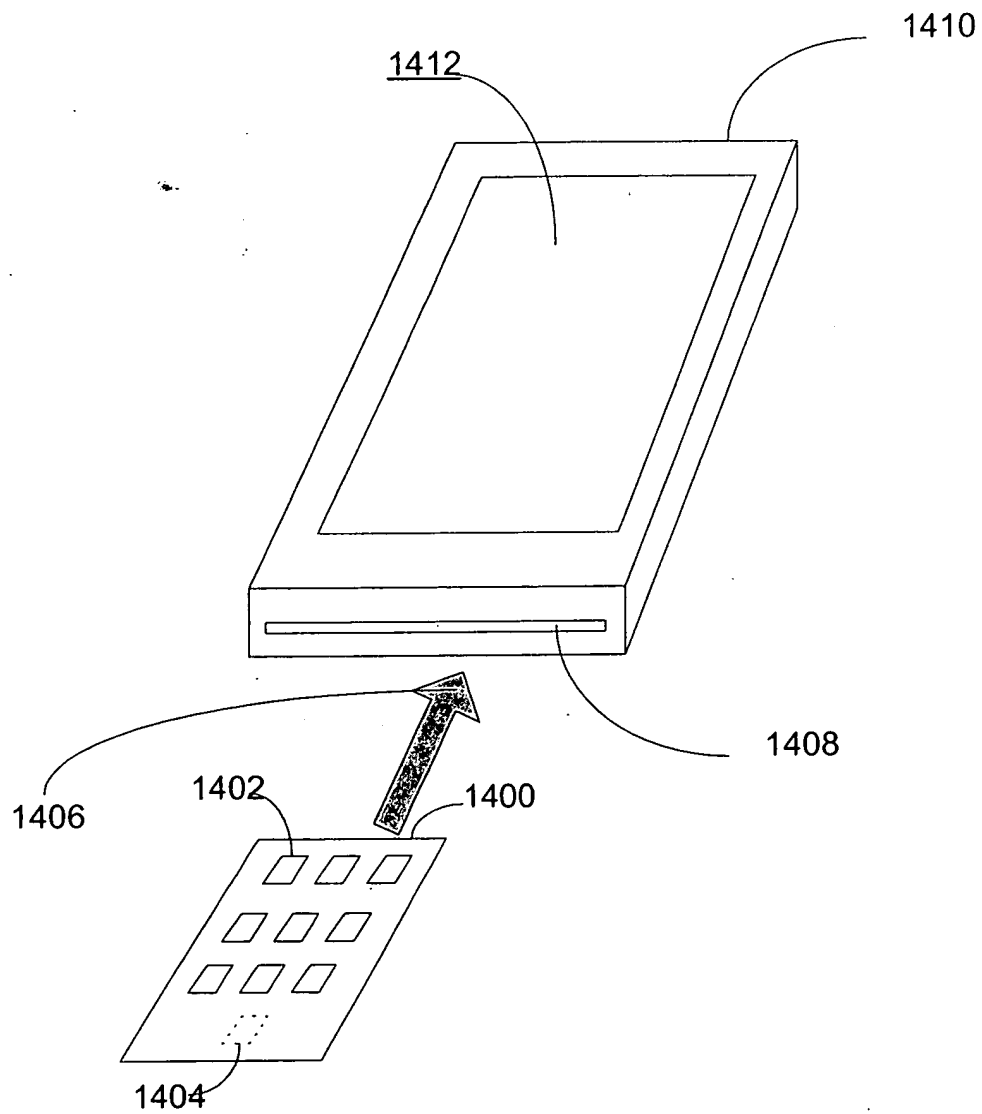


Fig. 5

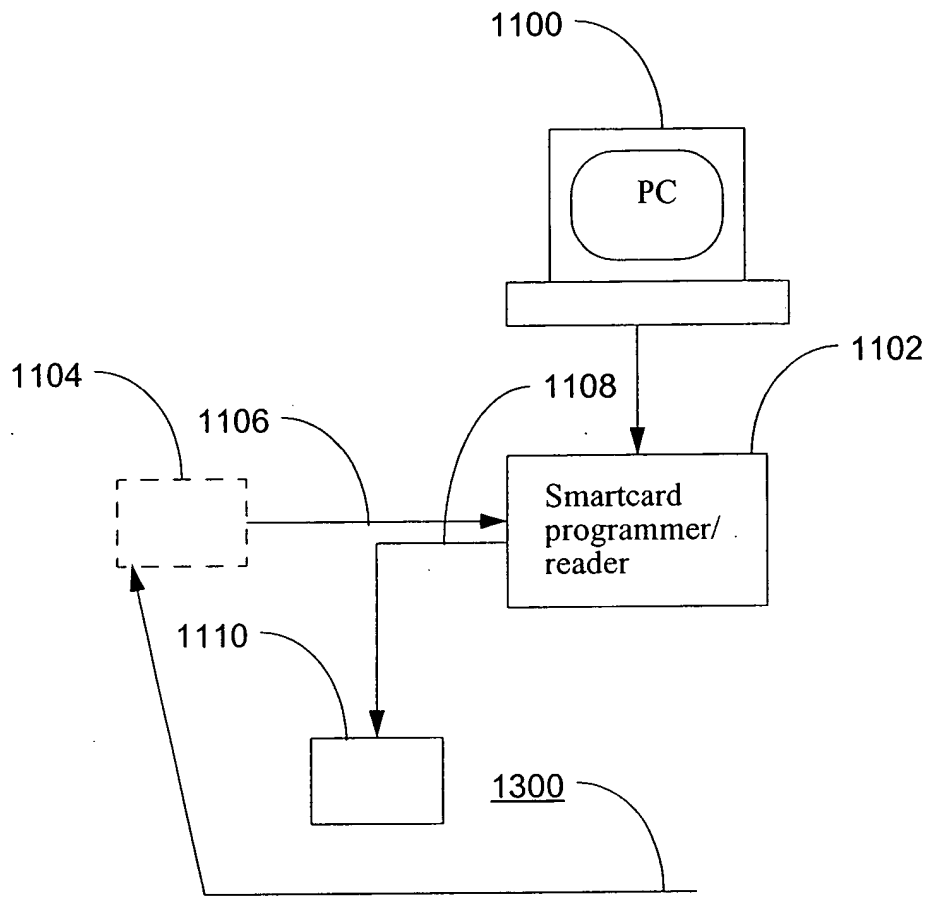


Fig. 6

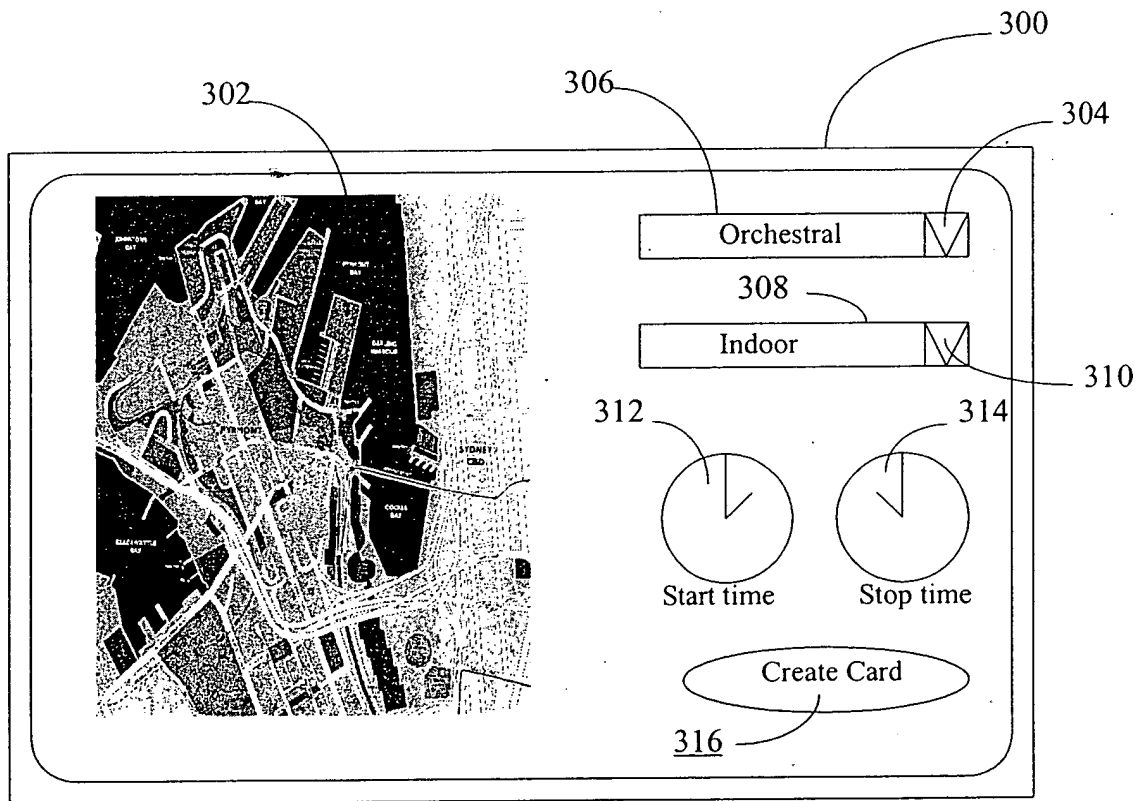


Fig. 7

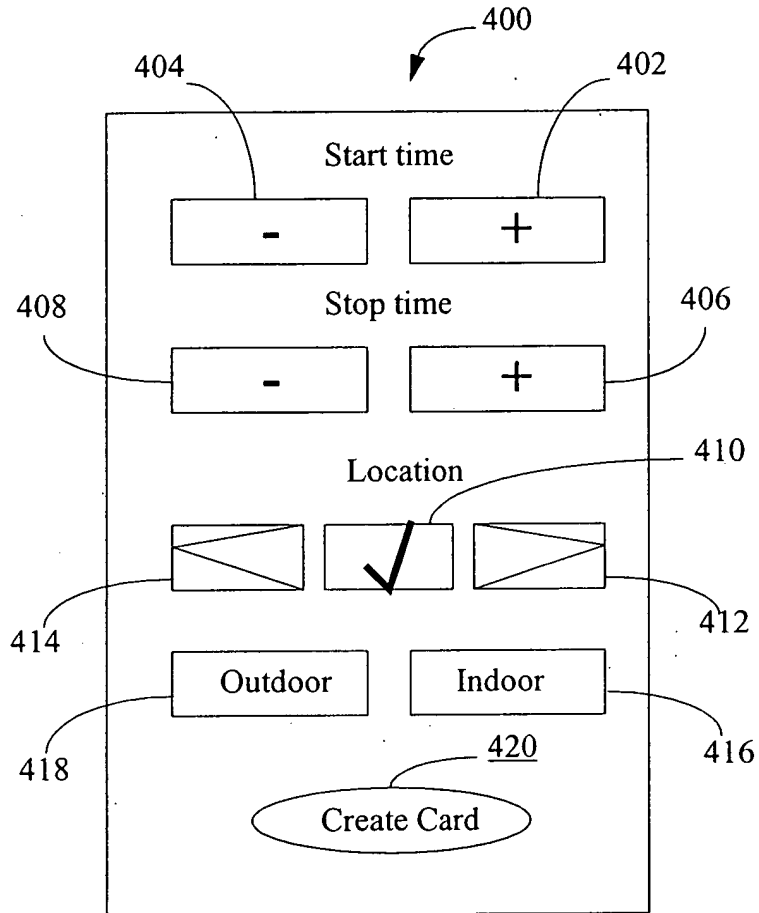


Fig. 8

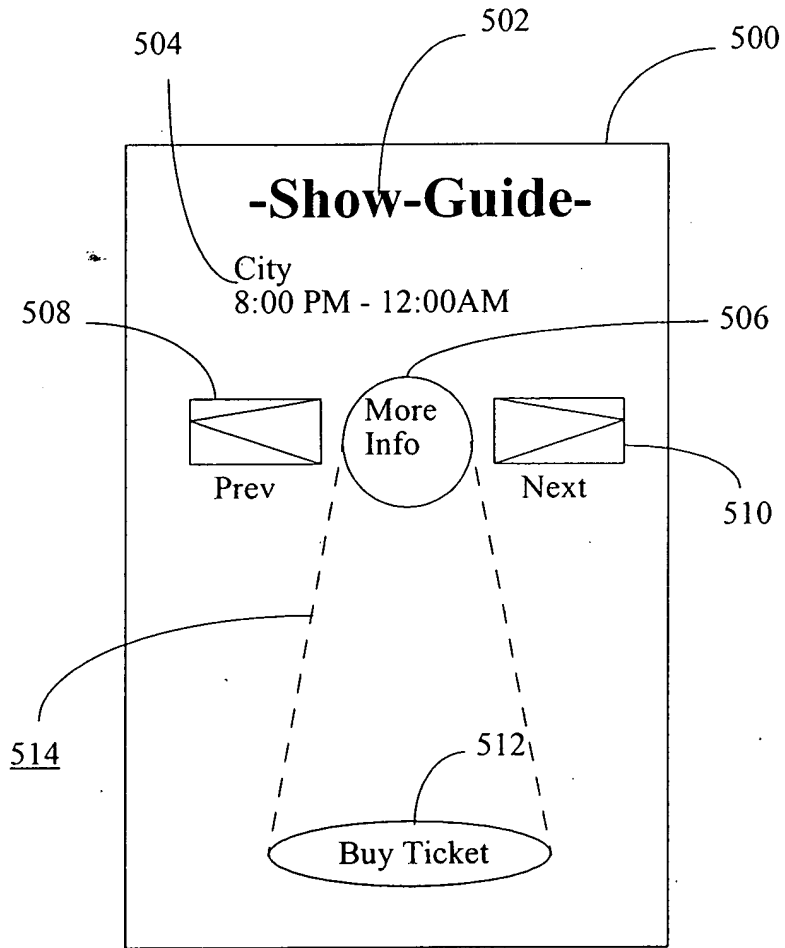


Fig. 9

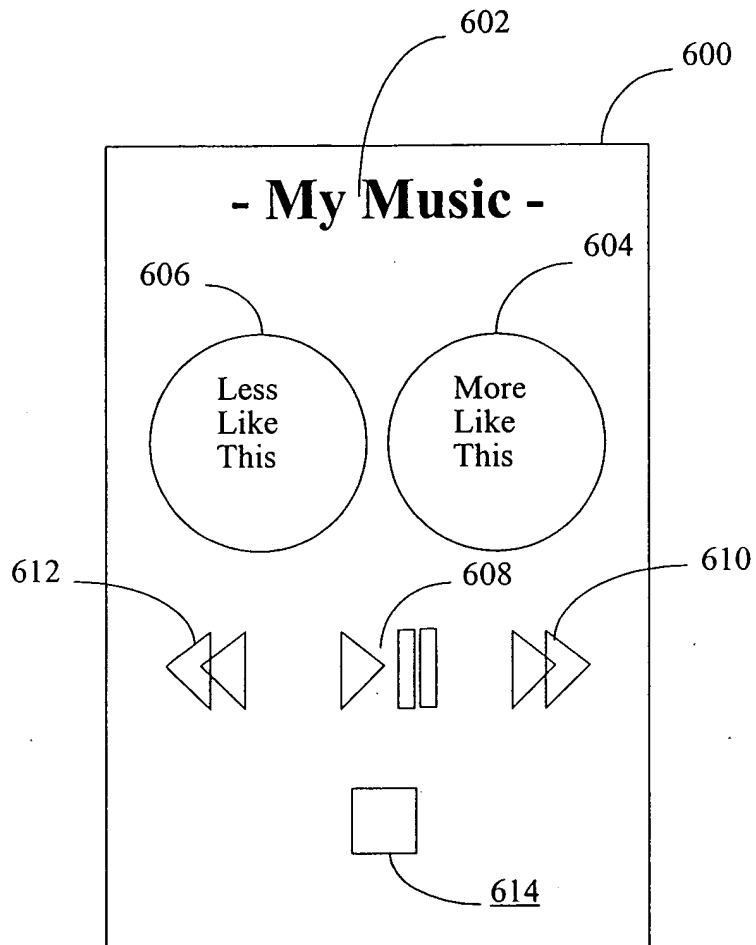


Fig. 10

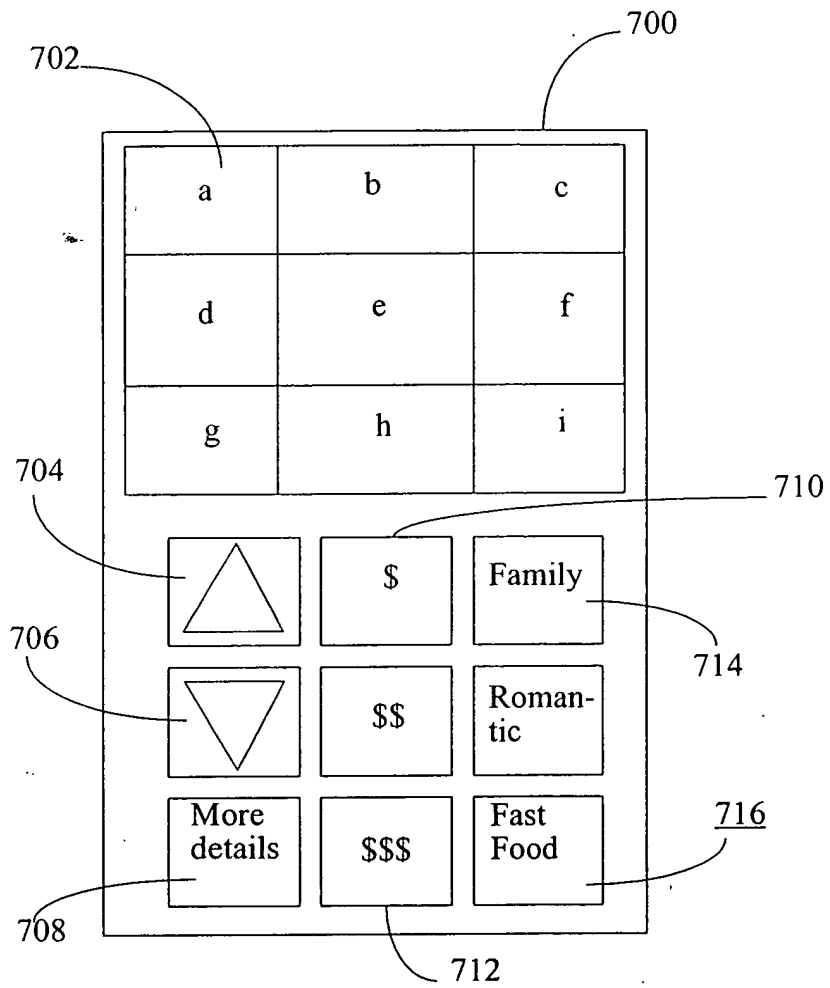


Fig. 11

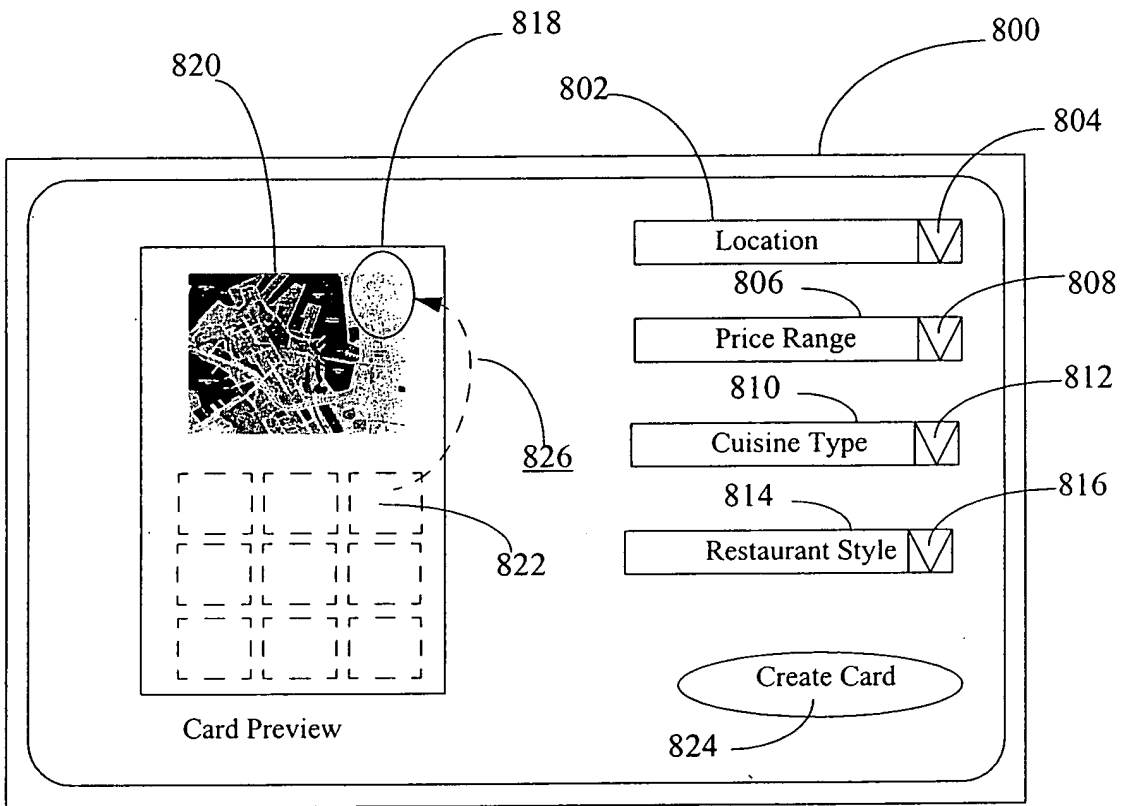


Fig. 12

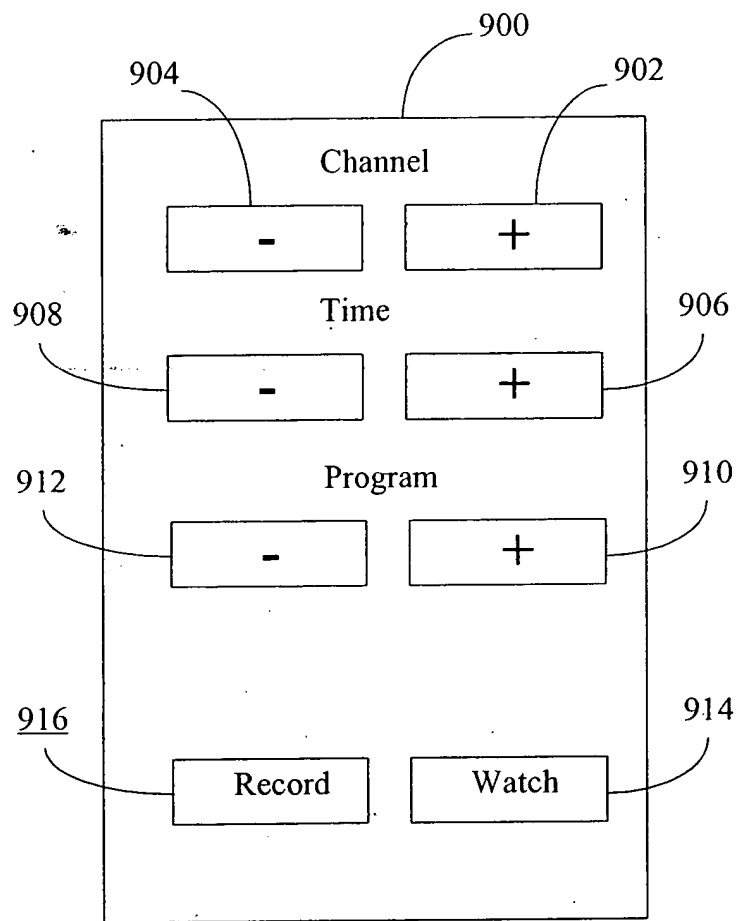


Fig. 13

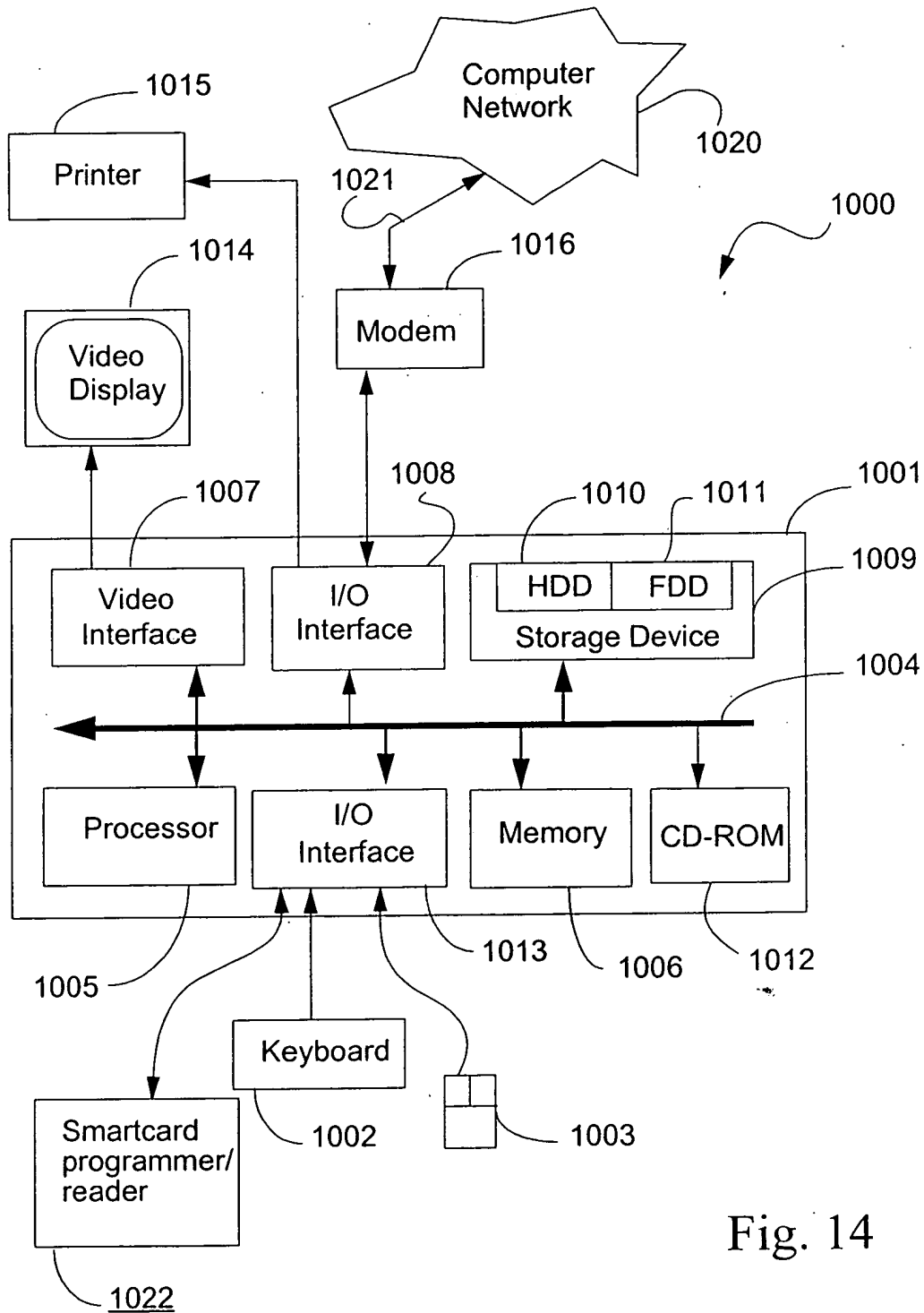


Fig. 14